

Properly Extending Properly n -REA Sets

Peter M. Gerdes

New England Recursion and Definability Seminar 2020

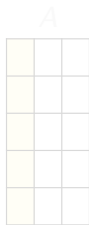
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- 2 Properly Extending 2-REA Sets
- 3 Non-Extendable 3-REA Set

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REA sets

- $A^{[n]}$ is the n -th column of A and $A^{[\leq n]}$ is the restriction of A to the first n columns.
- The i -th hop is $\mathcal{H}_i(A) \stackrel{\text{def}}{=} A \oplus W_i^A$. REA in A is a synonym for is a hop of A .
- \emptyset is 0-REA and if A is n -REA then $\mathcal{H}_i(A)$ is $n+1$ -REA.
- A set is properly $(n+1)$ -REA just if it is $n+1$ -REA and not Turing equivalent to any n -REA set.

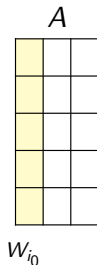
- We identify n -REA sets with n -column sets where the $l+1$ -st column is r.e. in the first l columns.
- We will denote the n -REA set with index e by X_e .



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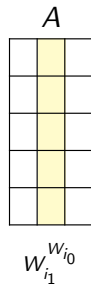
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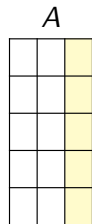
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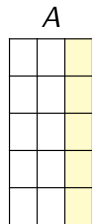
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$W_1^A^{[\leq 2]}$

Axioms

- Handwaving details consider an approximation to a 3-REA set A .
- 1 enumerated into 3-rd column dependent on highlighted area.
- Enumeration of 1 cancels 1
- 1 cancels 1 restoring 1
- Can effectively identify n -REA sets with r.e. sets of axioms (enumerate y into $A^{[n]}$ if $\sigma \prec A^{[<n]}$).

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1		
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Proper Extendability

Question

Can every properly n -REA set A be extended to a properly $n + 1$ -REA set $\mathcal{H}_i(A)$?

Prior Results

- Trivially true for $n = 0$
- The claim is true for $n = 1$ (Soare and Stob 1982)
- The claim is true for $n = 2$ (Cholak and Hinman 1994).

Novel Result with Peter Cholak

Claim fails at $n = 3$.

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2-REA Proper Extendability

Proposition (Cholak and Hinman 1994)

Every properly 2-REA can be extended to a properly 3-REA set.

Build A r.e. in proper 2-REA C meeting (where X_e is 2-REA):

Requirements

$$\mathcal{Q}_{j,e}: \left(\phi_j^{C \oplus A} \neq X_e \vee \phi_j^{X_e} \neq C \oplus A \right)$$

- We think of $C \oplus A$ as a 3 column set.
- Can find j so ϕ_j^Z switches computation based on $Z = X_e$ or $Z = C \oplus A$.

Let's start easy and suppose we control C . How would we build $Z = C \oplus A$ to be properly 3-REA set.

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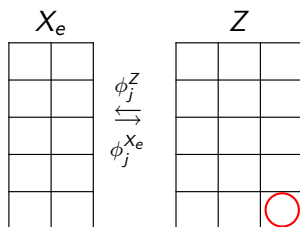
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Building Properly 3-REA

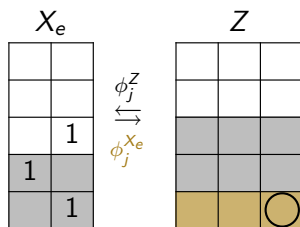
Meet one requirement for Z : $\phi_j^Z \neq X_e \vee \phi_j^{X_e} \neq Z$



- Hold $\textcircled{z_3}$ out of Z (red for disagree).
 - Await agreement. Gray X_e area use closed.
 - Put $\textcircled{z_3}$ in Z . Await agreement.
 - Some x_2 must enter X_e .
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- Cancel $\textcircled{z_3}$ by enumerating z_2 .
 - Restores computation with $X_e(x_2) = 0$. Await Agreement.
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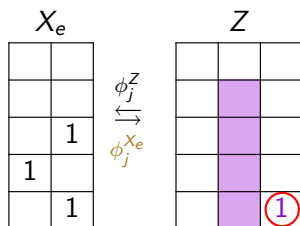
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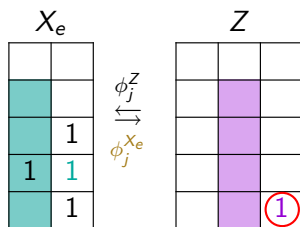
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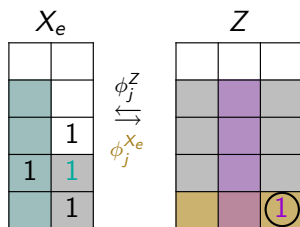
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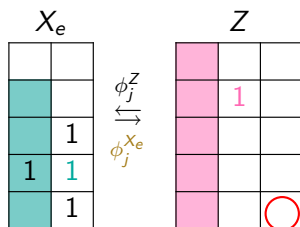
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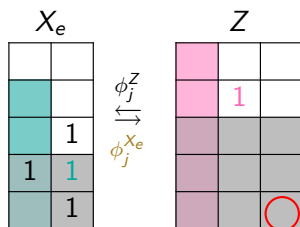
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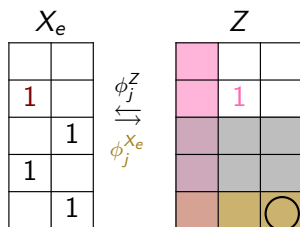
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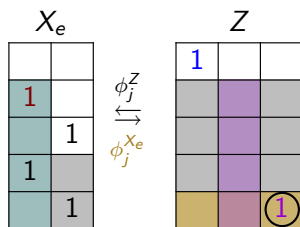
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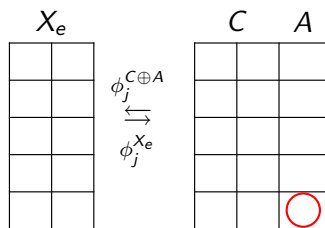
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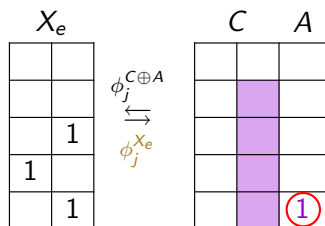
Try building A so $C \oplus A$ performs above construction.



- Problem: C might not supply z_2 .
- **Assume:** build $z_3^n, n \in \omega$ so all late ($C^{[1]}$ comp modulus) enums into $C^{[2]}$ work as some z_2^n .
- WIN If X_e doesn't cancel (in r.e. proof couldn't)
- Undoing z_2^n enum (restoring prior agreement) gives **WIN**.
- Otherwise $C^{[1]} \oplus X_e^{[1]}$ recovers C since $C^{[2]}$ enum ensures $X_e^{[1]}$ change **WIN**
 - \leq_T : $\mathcal{Q}_{j,e}$ acts infinitely so $C \equiv_T C \oplus A \equiv_T X_e \geq_T X_e^{[1]}$
 - \geq_T : Every late (not before $C^{[1]}$ modulus) entry into $C^{[2]}$ serves as some z_2^n causing change to $X_e^{[1]}$ below bound set when z_3^n enumerated.

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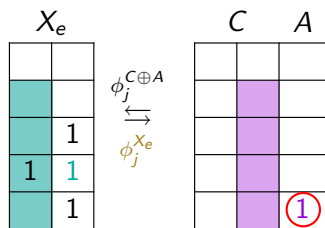
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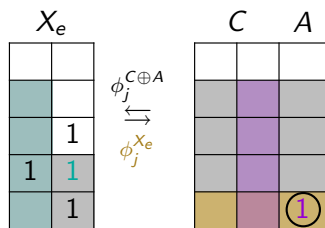
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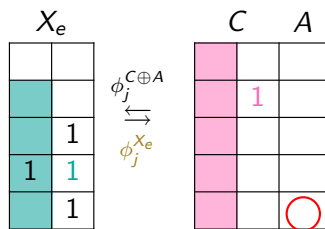
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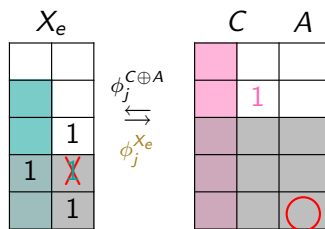
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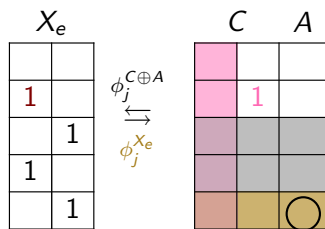
- Undoing z_2^n enum (restoring prior agreement) gives **WIN**.
- Otherwise $C^{[1]} \oplus X_e^{[1]}$ recovers C since $C^{[2]}$ enum ensures $X_e^{[1]}$ change **WIN**

\leq_T : $\mathcal{Q}_{j,e}$ acts infinitely so $C \equiv_T C \oplus A \equiv_T X_e \geq_T X_e^{[1]}$

\geq_T : Every late (not before $C^{[1]}$ modulus) entry into $C^{[2]}$ serves as some z_2^n causing change to $X_e^{[1]}$ below bound set when z_3^n enumerated.

Extending Properly 2-REA

Try building A so $C \oplus A$ performs above construction.



- Problem: C might not supply z_2 .
 - **Assume:** build z_3^n , $n \in \omega$ so all late ($C^{[1]}$ comp modulus) enums into $C^{[2]}$ work as some z_2^n .
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No Uniform Proper Extendability

If z_3^n choice (Assume) existed result would be uniform. **It's not!**

Proposition (Cholak and Hinman 1994)

For all $n > 0$, total computable p there is a properly n -REA set X_e such that $\mathcal{H}_{p(e)}(X_e)$ is not properly n -REA

Proof.

- Build $X_e = \mathcal{H}_e(\mathbb{0}^{(n-1)})$ to frustrate p . Assume we know $j = p(e)$.
- Let h (Hop inversion Jockusch and Shore 1983) satisfy $\mathcal{H}_j(X_{h(j)}) \equiv_T \mathbb{0}^{(n)}$.
- By fixed point let j s.t. $W_j^Z = W_{p(h(j))}^Z$ and $e = h(j)$.
- Hence $\mathcal{H}_{p(e)}(X_e) = \mathcal{H}_{p(h(j))}(X_{h(j)}) = \mathcal{H}_j(X_{h(j)}) \equiv_T \mathbb{0}^{(n)}$



Non-uniform Approach

Idea

Build A_0, A_1 so that one of $C \oplus A_i$ is properly 3-REA.

Requirements

$$\mathcal{Q}_{e_0, e_1, j}: (\exists k) \left(\phi_j^{C \oplus A_k} \neq X_{e_k} \vee \phi_j^{X_{e_k}} \neq C \oplus A_k \right)$$

Idea

Chose $z_3^{n,k}$ for A_k and interleave so that:

- 1 Sequence infinite iff $\neg \mathcal{Q}_{e_0, e_1, j}$. (Only stop on disagree)
- 2 Any late enum into $C^{[2]}$ acts as $z_2^{m,k'}$, i.e., cancels $z_3^{m,k'}$.
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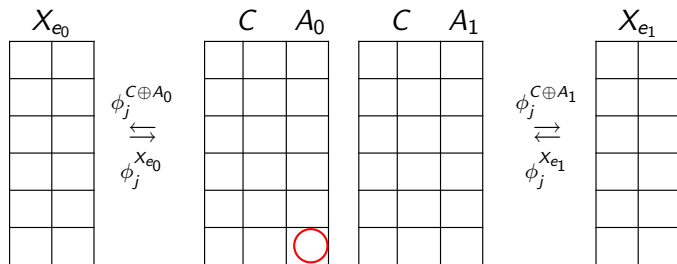
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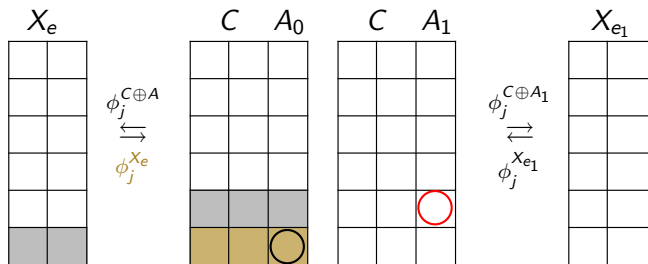
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Interleaving $z_3^{n,k}$



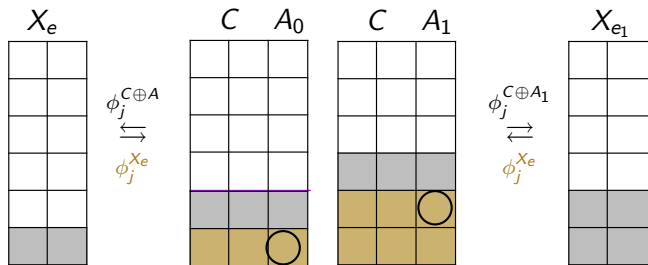
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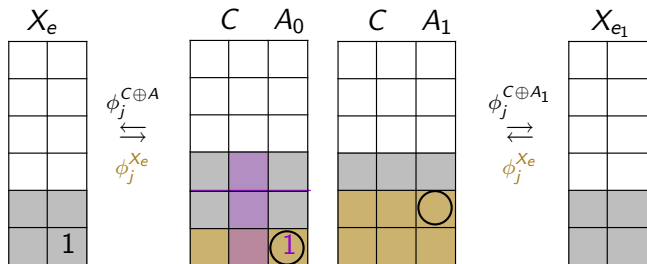
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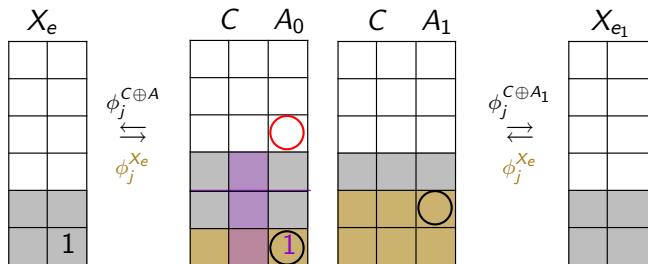
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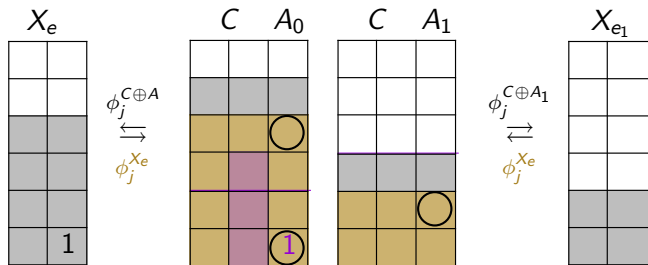
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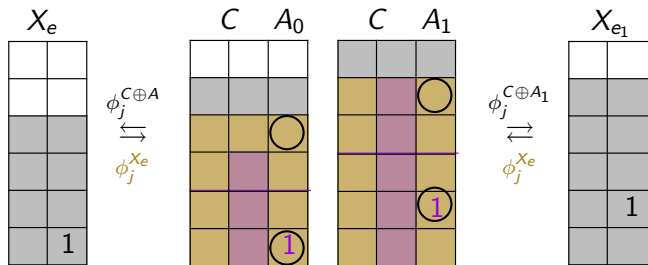
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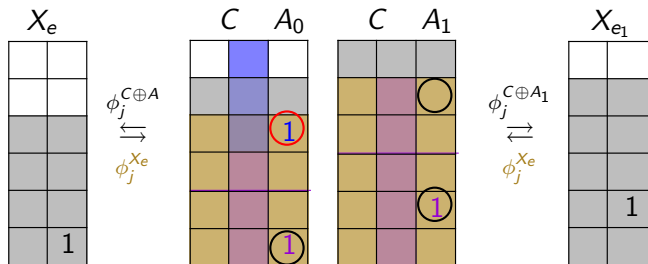
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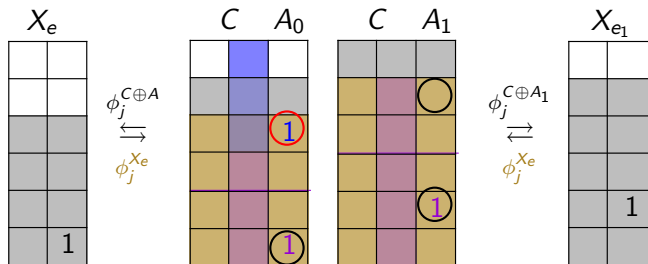
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Outline

- 1 Background
- 2 Properly Extending 2-REA Sets
- 3 Non-Extendable 3-REA Set**

Novel Result

Theorem (Novel Result with Peter Cholak)

There is a properly 3-REA set A which can't be extended to a properly 4-REA set $\mathcal{H}_i(A)$.

Build A, Y_i 3-REA Γ_i, Θ to satisfy: (where X_e is 2-REA)

Requirements

$$\mathcal{P}_i: \Gamma_i(\mathcal{H}_i(A)) = Y_i \wedge \Theta(Y_i) = W_i^A$$

$$\mathcal{R}_{j,e}: \Phi_j(A) \neq X_e \vee \Phi_j(X_e) \neq A$$

- \mathcal{P}_i ensures that $A \oplus Y_i \stackrel{\text{def}}{=} \bigoplus_{k \leq 3} A^{[k]} \oplus Y_i^{[k]}$ is 3-REA set equivalent to $\mathcal{H}_i(A)$
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Construction Framework

- Use finite injury method to build A, Y_i as limit of approximations.
- We maintain agreement at all stages and choose l_s large at end of s .
- Θ must allow enum into $Y_i^{[3]}$ (above x) to toggle $\Theta(Y_i; x)$, e.g., $\Theta_s(Y_i; x)$ is size of $Y_{i,s}^{[3][x]} \upharpoonright [l_s]$.
- Use axioms $\Gamma_i(A_s \upharpoonright [l_s]) = Y_{i,s} \upharpoonright [l_s]$ to define Γ_i . Note: infinitely often we restrain A_s on large initial segment.
- $\mathcal{R}_{j,e}$ only needs to avoid reinitializing Γ_i for $i < \langle\langle j, e \rangle\rangle$.

Fact

Entry into A, W_i^A allows redefinition of Y_i above x . Only danger is x removes smaller elements from W_i^A restoring prior Γ_i commitment.

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Change Indifference For $\mathcal{R}_{j,e}$

- Enemy (W_i^A) wants to walk changes 'up' columns of Y_i till can't match
- Enemy can use interleaving trick so if c enters $A^{[3]}$ it restores some prior computation (therefore forcing $Y_i^{[2]}$ change).
- Want to avoid $Y_i^{[\leq 2]}$ change when enumerating b into $A^{[3]}$

Idea

Try (in order) many options c_n for c . We have option to cancel c_k and 'time travel' to point in time right before enumerating c_k . Enemy will run out of different ways to enumerate into $W_i^A, i < \langle\langle j, e \rangle\rangle$.

- We will assume that we enumerate $c_k = c_0 + k$ (ish) into $A^{[3]}$ at stages s_k where agreement with X_e increases.
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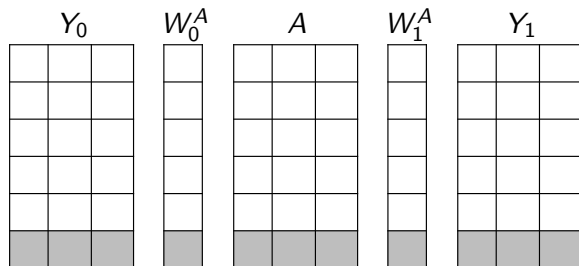
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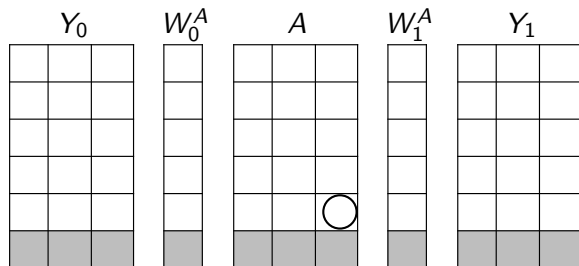
Basic $\mathcal{R}_{j,e}$ Action



Functionals defined on some initial use.

- At s_{-1} $\mathcal{R}_{j,e}$ chooses $\textcircled{c_0}$ large in $A^{[3]}$.
- At s_0 $\textcircled{c_0}$ enters $A^{[3]}$ resetting W_i^A
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- We cancel 1 by enumerating 1 AGREEMENT
- Enum b_1 canceling $\textcircled{c_1}$ but not $Y_i^{[\leq 2]}$. Enum a_1 VICTORY

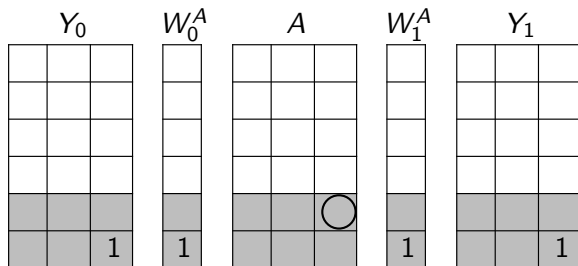
Basic $\mathcal{R}_{j,e}$ Action



Ignore all elements but one (call q) entering/leaving W_i^A for now.

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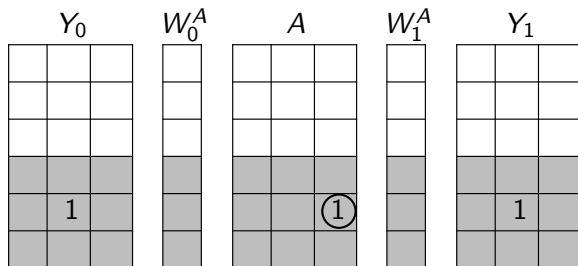
Basic $\mathcal{R}_{j,e}$ Action



Elements enter W_i^A while waiting to see agreement with X_e .

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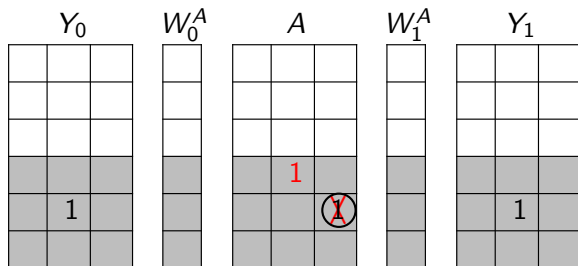
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Must cancel enumerations into Y_i to agree with prior computation.

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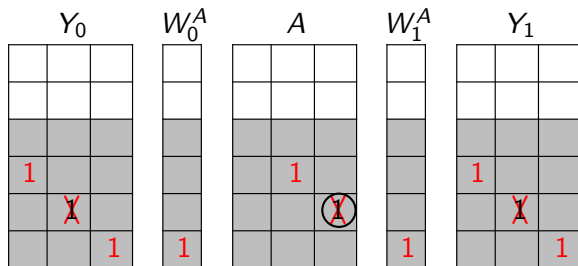
Basic $\mathcal{R}_{j,e}$ Action



Interlude: What if we tried to use c_0 as c by enum b_0 into $A^{[2]}$

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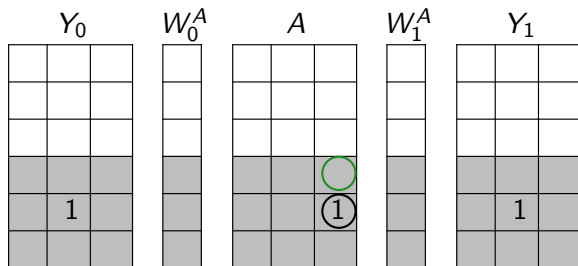
Basic $\mathcal{R}_{j,e}$ Action



Interlude: q returned to W_i^A, Y_i . Cancelling b_0 would break functionals.

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- At s_0 $\textcircled{c_0}$ enters $A^{[3]}$ resetting W_i^A
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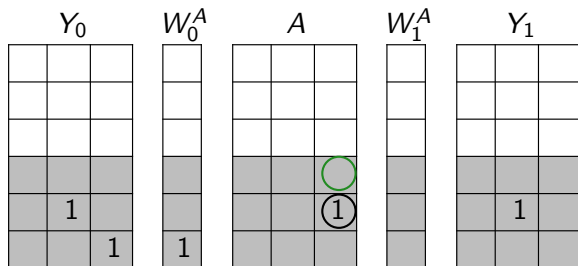
Basic $\mathcal{R}_{j,e}$ Action



Instead we wait for X_e agree through $\textcircled{c_1}$

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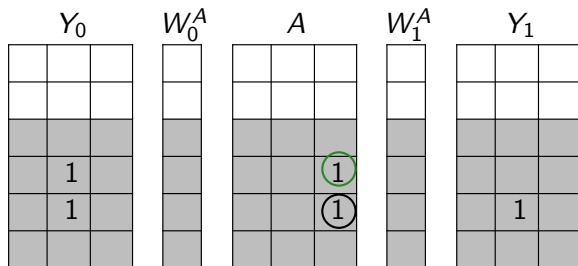
Basic $\mathcal{R}_{j,e}$ Action



During wait q enters W_0^A changing Y_0 .

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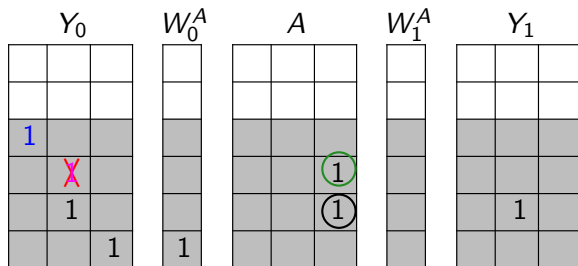
Basic $\mathcal{R}_{j,e}$ Action



c_1 cancels q from W_0^A changing Y_0 not Y_1

- At s_{-1} $\mathcal{R}_{j,e}$ chooses $\textcircled{0}$ large in $A^{[3]}$.
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- At s_1 $\textcircled{1}$ enters again resetting W_i^A to s_{-1} state.
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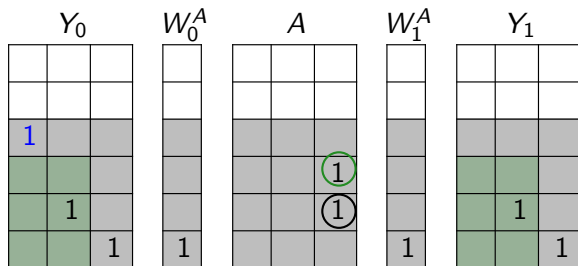
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q enum into W_0^A . Restore old state of Y_0 don't re-enum code for q .

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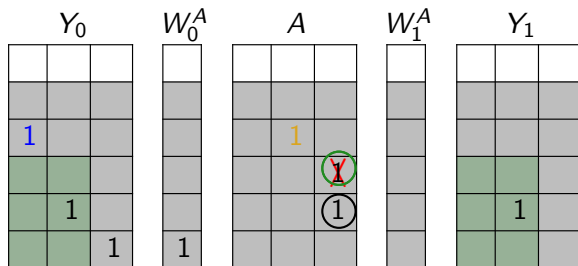
Basic $\mathcal{R}_{j,e}$ Action



Only enum into $Y_i^{[3]}$ until $\mathcal{R}_{j,e}$ expansionary.

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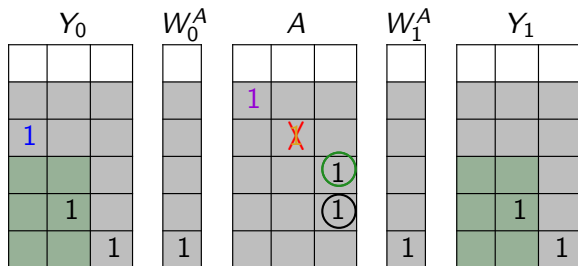
Basic $\mathcal{R}_{j,e}$ Action



Automatically roll back $Y_i^{[3]}$ since $A \oplus Y_i$ 3-REA.

- At s_{-1} $\mathcal{R}_{j,e}$ chooses $\textcircled{c_0}$ large in $A^{[3]}$.
- At s_0 $\textcircled{c_0}$ enters $A^{[3]}$ resetting W_i^A
- At s_1 $\textcircled{c_1}$ enters again resetting W_i^A to s_{-1} state.
- We cancel 1 by enumerating 1 **AGREEMENT**
- Enum b_1 canceling $\textcircled{c_1}$ but not $Y_i^{[\leq 2]}$. Enum a_1 **VICTORY**

Basic $\mathcal{R}_{j,e}$ Action



Wait for $\mathcal{R}_{j,e}$ expansionary (again only modify $Y_i^{[3]}$) before flipflop.

- At s_{-1} $\mathcal{R}_{j,e}$ chooses $\textcircled{c_0}$ large in $A^{[3]}$.
- At s_0 $\textcircled{c_0}$ enters $A^{[3]}$ resetting W_i^A
- At s_1 $\textcircled{c_1}$ enters again resetting W_i^A to s_{-1} state.
- We cancel 1 by enumerating 1 **AGREEMENT**
- Enum b_1 canceling $\textcircled{c_1}$ but not $Y_i^{[\leq 2]}$. Enum a_1 **VICTORY**

It's never *that* simple

- When we see q enter W_i^A we have to choose between keeping our options open or jumping back to agree with a long past stage $s_k - 1$ at the cost giving up change to agree with intervening $s_{k'} - 1, k' > k$
- We must decide how to respond with Y_i immediately after enumeration. Enemy can decide what set W_i^A to enumerate into next based on our choices so far.

Turns out clever enemy can beat most obvious ways to try and ensure agreement with the past.

- We give a second priority argument to bound number of $\mathcal{R}_{j,e}$ expansionary stages before victory.
- Turns out that considering more than one element (e.g. all $x < s_{-1}$) isn't much different than considering more sets W_i^A with Γ_i of higher priority.

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Final Notes

- Lots of open questions regarding REA sets. Come and play!
- Still lots of easy to state open questions (I'm kinda obsessed with existence of minimal ω -REA arithmetic degree but I keep running into nice problems for small n n -REA sets)
- My rec-thy package for $\text{\LaTeX} 2_{\epsilon}$ is at an early beta stage and feedback is welcome.

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